

Practitioner's Docket No. ACT-125**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of	)	Group Art Unit: 2839
	)	
Dan A. STEINBERG et al	)	Examiner: Chandrika Prasad
	)	
Application No: 09/835,863	)	Confirmation No: 5599
	)	
Filed: April 16, 2001	)	
	)	
FOR: CONNECTOR STRUCTURE FOR	)	
INTEGRATED OPTIC WAVEGUIDE	)	
DEVICES	)	

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT TRANSMITTAL**

1. Transmitted herewith is an amendment for this application.

**STATUS**

2. Applicant is  
[X] a small entity. A statement:  
[ ] is attached.  
[X] was already filed.  
[ ] other than a small entity.

---

**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))**

I hereby certify that, on the date shown below, this correspondence is being:

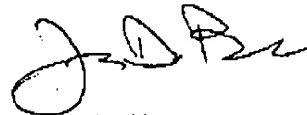
**MAILING**

- ☐ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

**FACSIMILE**

- ☒ transmitted by facsimile to the Patent and Trademark Office, 703-872-9318.

Signature



Jonathan D. Baskin

(type or print name of person certifying)

Date: September 2, 2003

(Amendment Transmittal—page 1 of 4)

**FAX COVER SHEET**

**Jonathan D. Baskin**  
**Law Office**  
Shipley Company  
455 Forest Street  
Marlborough, Massachusetts 01752-4634

**Telephone:** (508) 787-4766  
**Fax:** (508) 787-4730

<b>Date:</b> September 2, 2003	<b>Total Pages</b> (including cover sheet): 15
<b>TO:</b> Examiner: Chandrika Prasad Art Unit 2839	<b>Fax Number:</b> 703-872-9318 <b>Telephone Number:</b>
<b>CC:</b>	<b>Fax Number:</b> <b>Telephone Number:</b>
<b>Our Ref:</b> ACT-125	<b>Your Ref:</b> Application No: 09/835,863

**NOTES:**

Patent  
Attorney Docket No. ACT-125

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of	) Group Art Unit: 2839
Dan A. STEINBERG et al	)
Application No: 09/835,863	) Examiner: Chandrika Prasad
Filed: April 16, 2001	)
FOR: CONNECTOR STRUCTURE FOR	) Confirmation No: 5599
INTEGRATED OPTIC WAVEGUIDE	)
DEVICES	)

We would appreciate your distributing this fax to the above individual(s)  
AT YOUR FAX LOCATION ONLY - Thank you!

**EXTENSION OF TERM**

**NOTE:** *"Extension of Time in Patent Cases (Supplement Amendments) — If a timely and complete response has been filed after a Non-Final Office Action, an extension of time is not required to permit filing and/or entry of an additional amendment after expiration of the shortened statutory period.*

*If a timely response has been filed after a Final Office Action, an extension of time is required to permit filing and/or entry of a Notice of Appeal or filing and/or entry of an additional amendment after expiration of the shortened statutory period unless the timely-filed response placed the application in condition for allowance. Of course, if a Notice of Appeal has been filed within the shortened statutory period, the period has ceased to run." Notice of December 10, 1985 (1061 O.G. 34-35).*

**NOTE:** See 37 C.F.R. 1.645 for extensions of time in interference proceedings, and 37 C.F.R. 1.550(c) for extensions of time in reexamination proceedings.

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.

(complete (a) or (b), as applicable)

(a) ☐ Applicant petitions for an extension of time under 37 C.F.R. 1.136 (fees: 37 C.F.R. 1.17(a)(1)-(4)) for the total number of months checked below:

	Extension (months)	Fee for other than small entity	Fee for small entity
<input type="checkbox"/>	one month	\$110.00	\$ 55.00
<input type="checkbox"/>	two months	\$390.00	\$195.00
<input type="checkbox"/>	three months	\$890.00	\$445.00
<input type="checkbox"/>	four months	\$1390.00	\$695.00

Fee: \_\_\_\_\_

If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next item, if applicable)

☐ An extension for \_\_\_\_\_ months has already been secured. The fee paid therefor of \$ \_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ \_\_\_\_\_

OR

(b) ☐ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

(Amendment Transmittal—page 2 of 4)

6. ☒ If any additional extension and/or fee is required, charge Account No. 04-1105

AND/OR

☒ If any additional fee for claims is required, charge Account No. 04-1105

Reg. No. 39,499

Tel. No. (508) 787-4766

  
SIGNATURE OF PRACTITIONER

Jonathan D. Baskin  
(type or print name of practitioner)

c/o EDWARDS & ANGELL, LLP  
P.O. Box 9169  
P.O. Address

Boston, Massachusetts 02209

**RECEIVED**  
**CENTRAL FAX CENTER**

SEP 03 2003

**OFFICIAL**

(Amendment Transmittal—page 4 of 4)



Creation date: 01-30-2004

Indexing Officer: LNGUYEN18 - LAM NGUYEN

Team: OIPEBackFileIndexing

Dossier: 09835863

Legal Date: 10-10-2003

No.	Dccode	Number of pages
1	CTFR	6

Total number of pages: 6

Remarks:

Order of re-scan issued on .....